

**PCT REQUEST**

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| <b>0</b>   | <b>For receiving Office use only</b>   |   |
| 0-1        | International Application No.  |   |
| 0-2        | International Filing Date  |   |
| 0-3        | Name of receiving Office and "PCT International Application"   |   |
| <b>0-4</b> | <b>Form PCT/RO/101 PCT Request</b>   |   |
| 0-4-1      | Prepared Using   | <b>PCT-SAFE [EASY mode]<br/>Version 3.50 (Build 0002.175)</b>                   |
| 0-5        | <b>Petition</b><br><br>The undersigned requests that the present international application be processed according to the Patent Cooperation Treaty |   |
| 0-6        | <b>Receiving Office (specified by the applicant)</b>   | <b>Japan Patent Office (RO/JP)</b>  |
| 0-7        | <b>Applicant's or agent's file reference</b>   | <b>F1053PCT</b>   |
| <b>I</b>   | <b>Title of Invention</b>  | <b>METHOD FOR PRODUCING SILICON EPITAXIAL WAFER AND SILICON EPITAXIAL WAFER</b> |
| <b>II</b>  | <b>Applicant</b>   |   |
| II-1       | This person is   | <b>applicant only</b>   |
| II-2       | Applicant for  | <b>all designated States except US</b>  |
| II-4       | Name   | <b>SHIN-ETSU HANDOTAI CO., LTD.</b>   |
| II-5       | Address  | <b>4-2, Marunouchi 1-chome, Chiyoda-ku,<br/>Tokyo<br/>1000005<br/>Japan</b>     |
| II-6       | State of nationality   | <b>JP</b>   |
| II-7       | State of residence   | <b>JP</b>   |
| II-8       | Telephone No.  | <b>03-3214-1831</b>   |
| II-9       | Facsimile No.  | <b>03-3215-9040</b>   |
| II-11      | Applicant's registration No. with the Office   | <b>000190149</b>  |

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| III-1   | <b>Applicant and/or Inventor</b>  |  |
| III-1-1 | This person is <b>applicant and inventor</b>  |  |
| III-1-2 | Applicant for <b>US only</b>  |  |
| III-1-4 | Name (LAST, First) <b>NISHIZAWA, Tsuyoshi</b>   |  |
| III-1-5 | Address <b>c/o Shin-Etsu Handotai Co., Ltd.<br/>Shirakawa Plant, 150, Aza Ohira, Oaza<br/>Odakura, Nishigo-mura, Nishishirakawa-<br/>gun, Fukushima<br/>9618061</b>   |  |
| III-1-6 | State of nationality <b>Japan</b>   |  |
| III-1-7 | State of residence <b>JP</b>  |  |
| IV-1    | <b>Agent or common representative; or address for correspondence</b>  |  |
|         | The person identified below is hereby/<br>has been appointed to act on behalf of<br>the applicant(s) before the competent<br>International Authorities as:  |  |
| IV-1-1  | Name (LAST, First) <b>ARAFUNE, Yoshio</b>   |  |
| IV-1-2  | Address <b>c/o KOYO INTERNATIONAL PATENT AND LAW<br/>FIRM, 5F., Nikko Kagurazaka Bldg., 18,<br/>Iwatocho, Shinjuku-ku, Tokyo<br/>1620832</b>  |  |
| IV-1-3  | Telephone No. <b>03-3269-2611</b>   |  |
| IV-1-4  | Facsimile No. <b>03-3269-3590</b>   |  |
| IV-1-6  | Agent's registration No. <b>100093045</b>   |  |
| IV-2    | <b>Additional agent(s)</b>  |  |
| IV-2-1  | Name(s) <b>ARAFUNE, Hiroshi(100090033)</b>  |  |
| V       | <b>DESIGNATIONS</b>   |  |
| V-1     | The filing of this request constitutes<br>under Rule 4.9(a), the designation of<br>all Contracting States bound by the<br>PCT on the international filing date,<br>for the grant of every kind of<br>protection available and, where<br>applicable, for the grant of both<br>regional and national patents. |  |
| VI-1    | <b>Priority claim of earlier national<br/>application</b>   |  |
| VI-1-1  | Filing date <b>26 June 2003 (26.06.2003)</b>  |  |
| VI-1-2  | Number <b>2003-182615</b>   |  |
| VI-1-3  | Country <b>JP</b>   |  |

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| VI-2   | Priority document request<br>The receiving Office is requested to prepare and transmit to the International Bureau a certified copy of the earlier application(s) identified above as item(s): | VI - 1                       |                             |
| VII-1  | International Searching Authority Chosen   | Japan Patent Office (ISA/JP) |                             |
| VIII   | Declarations   | Number of declarations       |                             |
| VIII-1 | Declaration as to the identity of the inventor   | -                            |                             |
| VIII-2 | Declaration as to the applicant's entitlement, as at the international filing date, to apply for and be granted a patent   | -                            |                             |
| VIII-3 | Declaration as to the applicant's entitlement, as at the international filing date, to claim the priority of the earlier application   | -                            |                             |
| VIII-4 | Declaration of inventorship (only for the purposes of the designation of the United States of America)   | -                            |                             |
| VIII-5 | Declaration as to non-prejudicial disclosures or exceptions to lack of novelty   | -                            |                             |
| IX     | Check list   | number of sheets             | electronic file(s) attached |
| IX-1   | Request (including declaration sheets)   | 4                            | ✓                           |
| IX-2   | Description  | 25                           | -                           |
| IX-3   | Claims   | 3                            | -                           |
| IX-4   | Abstract   | 1                            | ✓                           |
| IX-5   | Drawings   | 15                           | -                           |
| IX-7   | TOTAL  | 48                           |                             |
| IX-8   | Accompanying Items   | paper document(s) attached   | electronic file(s) attached |
| IX-17  | Fee calculation sheet  | ✓                            | -                           |
| IX-17  | PCT-SAFE physical media  | -                            | ✓                           |
| IX-19  | Figure of the drawings which should accompany the abstract   | 1                            |                             |
| IX-20  | Language of filing of the international application  | Japanese                     |                             |
| X-1    | Signature of applicant, agent or common representative   |                              |                             |
| X-1-1  | Name (LAST, First)   |                              |                             |
| X-1-2  | Name of signatory  |                              |                             |
| X-1-3  | Capacity   |                              |                             |

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| 10-1   | Date of actual receipt of the purported international application   |        |
| 10-2   | Drawings:   |        |
| 10-2-1 | Received  |        |
| 10-2-2 | Not received  |        |
| 10-3   | Corrected date of actual receipt due to later but timely received papers or drawings completing the purported international application |        |
| 10-4   | Date of timely receipt of the required corrections under PCT Article 11(2)  |        |
| 10-5   | International Searching Authority   | ISA/JP |
| 10-6   | Transmittal of search copy delayed until search fee is paid   |        |

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|------|--|--|
| 11-1 | Date of receipt of the record copy by the International Bureau |  |
|------|--|--|